

**To (System) :**

Element : Participant, Account Holder, Clearing Member, Pledgee,  
Type R/TA, Trustee  
Location : Islamabad, Karachi, Lahore  
Status : Active, Suspended

**To (Group) :**

**To  
(Element) :**

**Subject :** Notifications - SRO (Gazette of Pakistan)

**Attachments :** S R O 798(I)2017.pdf  
S R O 813(I)2017.pdf

**Priority :** High

**Message :** September 08, 2017

Dear CDS Element,

This is to inform you that in continuation to the Securities and Exchange Commission of Pakistan (SECP) emails regarding the subject matter, thereby please find enclosed copy of the Government Directives, S.R.O. 798(I)2017 dated August 07 , 2017 & S.R.O. 813(I)2017 dated August 15 , 2017 issued by Ministry of Foreign Affairs provided by the SECP for implementation of the provisions mentioned in the attached SRO related to entities/individuals placed on the UN Security Council's Al-Qaeda/ISIL/Taliban/Libya Sanctions List with immediate effect. A consolidated list is also available at the UN sanctions committee's website and can be accessed at following link:

<https://www.un.org/sc/suborg/en/sanctions/un-sc-consolidated-list>

You are also requested to regularly access the abovementioned consolidated list (updated time to time) to stay informed regarding such entities / individuals & insure compliance.

Regards,

**Badiuddin Akber**

Chief Compliance & Risk Officer

(3)

Government of Pakistan  
Ministry of Foreign Affairs  
Islamabad

~~HOD AML~~  
Att Zameer  
28/8/17

Subject: Gazette of Pakistan S.R.O. 798 (I)/2017

Please find enclosed for appropriate action, copy of the latest Gazette of Pakistan Statutory Regulatory Order No. 798 (I)/2017 dated 07 August 2017 regarding addition of one entry in Libya Sanctions Committee List.

2. In pursuance of the UN Security Council Resolution no. 1970 (2011) and its subsequent resolutions, SROs are issued by the Government of Pakistan whenever the Libya Sanctions Committee list is updated, to provide legal cover for implementing sanctions measures under the UN Security Council Resolutions. These sanctions are overseen by the Libya Sanctions Committee which has been established pursuant to UNSC resolution 1970 (2011).

3. Vide its resolutions 1970 (2011), 1973 (2011), 2009 (2011), 2016 (2011), 2017 (2011), 2040 (2012), 2095 (2013), 2144 (2014), 2146 (2014), 2174 (2014), 2213 (2015), 2214 (2015), 2278 (2016) and 2362 (2017), the Security Council has obliged all Member States under Chapter VII of the UN Charter:

- a. to freeze all funds, other financial assets and economic resources that are owned or controlled, directly or indirectly, by the listed individuals or entities, to ensure that no funds, financial assets or economic resources be made available to or for the benefit of such individuals or entities and to exercise vigilance when doing business with Libyan entities, if the States have information that provides reasonable grounds to believe that such business could contribute to violence and use of force against civilians;
- b. to prevent the entry into or transit through their territories of all listed individuals;
- c. to prevent the sale or supply to Libya of arms and related materiel of all types, including weapons and ammunition, military vehicles and equipment, paramilitary equipment, and spare parts for the listed individuals and entities (with an exception for the Libyan government for non-lethal materiel, technical assistance, training or financial assistance) and to prohibit the export by Libya, and procurement by Member States, of all arms and related materiel; and
- d. to direct the vessel not to load, transport, or discharge crude oil from Libya, absent direction from the Government of Libya focal point and to prevent: (i) the entry into ports of designated vessels designated by the Committee from entering their ports, (ii) the provision of bunkering services, such as provision of fuel or supplies, or other servicing of vessels, to designated vessels, and (iii) financial transactions with respect to such crude oil from Libya aboard designated vessels.

4. The Libya Sanctions Committee list is regularly updated and can be accessed at the following link:

<https://www.un.org/sc/suborg/en/sanctions/1970/materials>.

By No. 1447 Date: 28/8/17  
Chairman's Office  
SEC, Islamabad

Islamabad, the August 07 2017

**ORDER**

798

S.R.O. (I)/2017- WHEREAS the United Nations Security Council vide its Resolutions nos. 1970 (2011), 1973 (2011), 2009 (2011), 2016 (2011), 2017 (2011), 2040 (2012), 2095 (2013), 2144 (2014), 2146 (2014), 2174 (2014), 2213 (2015), 2214 (2015), 2278 (2016) and 2362 (2017) has directed to apply arms embargo, travel ban, assets freeze and business restrictions, and measures in relation to attempts to illicitly export crude oil on certain individuals and entities under Chapter VII of the United Nations Charter;

AND WHEREAS the United Nations (Security Council) Act, 1948 (XIV of 1948) enjoins upon the Federal Government to apply certain measures for giving effect to the decisions of the United Nations Security Council;

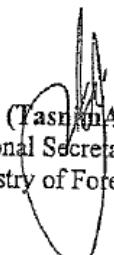
NOW, THEREFORE, in exercise of the powers conferred by Section 2 of the United Nations (Security Council) Act, 1948 (XIV of 1948), the Federal Government is pleased to order that the entity listed in the Annexure to this order reflecting the updates made by the Libya Sanctions Committee of the United Nations Security Council shall stand subjected to the assets freeze, travel ban and other measures relating to attempts to illicitly export petroleum in accordance with the relevant paragraphs of the aforesaid Resolutions with immediate effect.

**Annexure**

On 02 August 2017, the Libya Sanctions Committee approved the addition of entry specified below to its List of individuals and entities subject to the assets freeze and travel ban and other measures relating to attempts to illicitly export petroleum, including crude oil and refined petroleum products, from Libya set out in paragraphs 15 and / or 17 of the Security Council resolution 1970 (2011) and / or paragraph 19 of resolution 1973 (2011), or paragraph 10 of resolution 2146 (2014) as extended and modified by paragraph 2 of resolution 2362 (2017), adopted under Chapter VII of the Charter of the United Nations:

**LYe.005 Name: Lynn S**

**A.k.a.: na F.k.a.: na Address: na Listed on: 2 Aug. 2017 Other information: IMO: 8706349.** Listed pursuant to paragraphs 10(a) and 10 (b) of resolution 2146 (2014), as extended and modified by paragraph 2 of resolution 2362 (2017) (prohibition to load, transport or discharge; prohibition to enter ports). Pursuant to paragraph 11 of resolution 2146, this designation is valid from 2 August to 2 November 2017, unless terminated earlier by the Committee pursuant to paragraph 12 of resolution 2146. Flag State: Saint Vincent and the Grenadines. As of 26 July 2017, the vessel was located in international waters approximately 50 nautical miles southeast of Cyprus.

  
(Yasir Aslam)  
Additional Secretary (UN&EC)  
Ministry of Foreign Affairs



HOD (AML)

MINISTRY OF FOREIGN AFFAIRS  
ISLAMABAD

At  
Lami  
23/8/17

Most Immediate

Subject: Gazette of Pakistan - S.R.O.s

Please find enclosed for appropriate action, copy of the latest Gazette of Pakistan Statutory Regulatory Order No. 813 (I)/2017 dated 15 August 2017 regarding amendment of one (01) entry to UN 1267 Committee's Sanctions List.

2. In pursuance of the UN Security Council Resolution no. 1267(1999) and its subsequent resolutions, SROs are issued by the Government of Pakistan whenever the Consolidated List maintained by the 1267 Sanctions Committee is updated, to provide legal cover for implementing sanction measures under the UN Security Council Resolutions. These sanctions are overseen by the ISIL (Daesh)/ Al-Qaida and Taliban Sanctions Committees which have been established pursuant to paragraph 6 of resolution 1267 (1999). The targeted individuals and entities are placed on the Consolidated List.

3. By resolutions 1267 (1999), 1333 (2000), 1373 (2001), 1390 (2002), as reiterated in resolutions 1455 (2003), 1526 (2004), 1617 (2005), 1735 (2006), 1822 (2008), 1904(2009), 1988 (2011), 1989 (2011), 2082 (2012), 2083 (2012), 2160 (2014), 2161 (2014) and 2170 (2014), 2178 (2014), 2199 (2015), 2253 (2015), 2255 (2015), and 2368 (2017) the Security Council has obliged all States:

- a) to freeze without delay the funds and other financial assets or economic resources, including funds derived from property owned or controlled directly or indirectly and to ensure that neither these nor any other funds, financial assets or economic resources are made available, directly or indirectly for such persons' benefit;
- b) to prevent the entry into or the transit through their territories ;
- c) to prevent the direct or indirect supply, sale, or transfer of arms and related material, including military and paramilitary equipment, technical advice, assistance or training related to military activities, with regard to the individuals, groups, undertakings and entities placed on the Consolidated List.

4. The Consolidated List, which is available at the Al-Qaida and Taliban Sanctions Committee's website, is regularly updated and can be accessed at the following links:

<https://www.un.org/sc/suborg/en/sanctions/1988/materials>

[https://www.un.org/sc/suborg/en/sanctions/1267/aq\\_sanctions\\_list](https://www.un.org/sc/suborg/en/sanctions/1267/aq_sanctions_list)

By No. 1413 Date 23/8/17  
Chairman's Office  
SEC, Islamabad

Islamabad 15 August 2017

**ORDER**

S.R.O. 813 (I)/ dated 15 August 2017 – WHEREAS the United Nations Security Council vide its Resolutions Nos. 1267(1999), 1333 (2000), 1373 (2001), 1390 (2002), 1455 (2003), 1526 (2004), 1617 (2005), 1735 (2006), 1822 (2008), 1904 (2009), 1988 (2011), 1989 (2011), 2082 (2012), 2083 (2012), 2133 (2014), 2160 (2014), 2161 (2014) 2170(2014), 2178(2014), 2199 (2015), 2253 (2015) and 2368 (2017) has directed to apply travel restrictions, arms embargo and to freeze the funds and other financial resources of certain individuals and entities;

2. AND WHEREAS through paragraph 1 of United Nations Security Council resolution 2368 (2017) adopted under Chapter VII of the United Nations Charter, the United Nations Security Council has decided that all States shall take the following measures as previously imposed by paragraph 8 (c) of resolution 1333 (2000), paragraphs 1 and 2 of resolution 1390 (2002), paragraphs 1 and 4 of resolution 1989 (2011), and paragraph 2 of resolution 2253 (2015) with respect to ISIL (also known as Da'esh), Al-Qaida, and associated individuals, groups, undertakings and entities:

***Asset Freeze***

(a) Freeze without delay the funds and other financial assets or economic resources of these individuals, groups, undertakings and entities, including funds derived from property owned or controlled directly or indirectly, by them or by persons acting on their behalf or at their direction, and ensure that neither these nor any other funds, financial assets or economic resources are made available, directly or indirectly for such persons' benefit, by their nationals or by persons within their territory;

***Travel Ban***

(b) Prevent the entry into or transit through their territories of these individuals, provided that nothing in this paragraph shall oblige any State to deny entry or require the departure from its territories of its own nationals and this paragraph shall not apply where entry or transit is necessary for the fulfillment of a judicial process or the Committee determines on a case-by-case basis only that entry or transit is justified;

***Arms Embargo***

(c) Prevent the direct or indirect supply, sale, or transfer to these individuals, groups, undertakings and entities from their territories or by their nationals outside their territories, or using their flag vessels or aircraft, of arms and related materiel of all types including weapons and ammunition, military vehicles and equipment, paramilitary equipment, and spare parts for the aforementioned, and technical advice, assistance or training related to military activities;

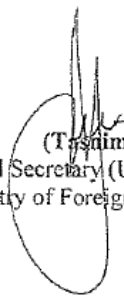
procedures for considering delisting requests, including the possibility of submitting such a request to the Ombudsperson in accordance with paragraph 43 of resolution 2083 (2012) and the provisions of resolution 1452 (2002) regarding available exemptions, including the possibility of submitting such requests through the Focal Point mechanism;

Annexure-I

On 9 August 2017, the Security Council Committee pursuant to resolutions 1267 (1999), 1989 (2011) and 2253 (2015) enacted the amendments specified with underline and strikethrough in the entry below on its ISIL (Da'esh) and Al-Qaida Sanctions List of individuals and entities subject to the assets freeze, travel ban and arms embargo set out in paragraph 1 of Security Council resolution 2368 (2017) adopted under Chapter VII of the Charter of the United Nations:

A. Individual associated with ISIL (Da'esh) and Al-Qaida

QDi.398 Name: 1: RUSTAM 2: MAGOMEDOVICH 3: ASELDEROV 4: na  
Name (original script): Рустам Магомедович Асельдеров  
Title: na Designation: na DOB: 9 Mar. 1981 POB: Iki-Burul Village, Iki-Burulskiy District, Republic of Kalmykia, Russian Federation Good quality a.k.a.: na Low quality a.k.a.: a) Abu Muhammad (original script: Абу Мухаммад) b) Abu Muhammad Al-Kadari (original script: Абу Мухаммад Аль-Кадари) c) Muhammadmuhtar (original script: Мухаммадмухтар) Nationality: Russian Federation Passport no: Russian passport number 8208 No. 555627 (issued by Leninskiy Office, Directorate of the Federal Migration Service of the Russian Federation for the Republic of Dagestan) National identification no: na Address: na Listed on: 12 Dec. 2016 (amended on 9 August 2017) Other information: ~~Wanted by the authorities of the Russian Federation for terrorist crimes.~~ Leads Led a group of over 160 terrorist fighters, which operates in the Republics of Dagestan, Chechnya and Ingushetia, Russian Federation. Killed on 3 December 2016 in Makhachkala, the Republic of Dagestan, Russian Federation. Photo available for inclusion in the INTERPOL-UN Security Council Special Notice. INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/notice/search/un/5993047>

  
(Tashim Aslam)  
Additional Secretary (UN&EC)  
Ministry of Foreign Affairs