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N O T I C E



To: - The Chief Executive Officer, Din Capital Limited

CC: - Executive Director (PRDD-SMD), Securities and Exchange Commission of Pakistan
- Director (SSED-SMD), Securities and Exchange Commission of Pakistan
- Director/HOD (PRPD-SMD), Securities and Exchange Commission of Pakistan
- Managing Director, Pakistan Stock Exchange Limited
- The Chief Executive Officer, National Clearing Company of Pakistan Limited
- CDS Elements – Account Holders/ Participants/ Eligible Pledges
- The Sub-Account Holders of the Participant
- CDC Website

From: Badiuddin Akber
Chief Compliance & Risk Officer

Ref no.: CDC/LCR/337/2017

Date: November 17, 2017

Subject: **NOTICE OF IMPOSITION OF RESTRICTIONS ON THE ADMISSION OF DIN CAPITAL LIMITED TO THE CDS AS A PARTICIPANT**

Further to our notice dated November 08, 2017 on the subject matter, this is to notify that consequent to the Order dated November 17, 2017 of the Securities and Exchange Commission whereby:

- a. suspending the license of Din Capital Limited ("the Participant") as a Securities Broker due to non-compliance of applicable regulatory framework of serious nature; and
- b. directing CDC to take action against the Participant in accordance with the CDC Regulations,

an event has occurred under Regulation 15.1.1(a)(ii) and (b)(vi) of the CDC Regulations.

In view of foregoing, CDC has, under Regulation 15.2.1(a) read with Regulation 15.4.1 of the CDC Regulations and the Joint Procedures issued by the Commission, imposed Restrictions on the admission of the Participant to the CDC in respect of its CDS Participant Account ID No. A14381 with immediate effect.

The Restrictions, as imposed herein on the Participant, shall apply and have effect in the following manner:

- a. the Participant will not have access to the Sub-Accounts under its control
- b. the Participant shall only be entitled to handle Book-entry Securities entered in the Available Balance in its House Account, however, Pledge Securities Transactions from House Account shall not be allowed.
- c. the Participant is also restricted from opening new Sub-Accounts under its Participants Account.
- d. all pending trades (if any) may be settled in accordance with the Procedures.
- e. the Sub-Account Holders of the Participant may contact CDC for transfer of their Securities in accordance with the Procedures, which are placed on CDC website www.cdcpakistan.com.

It is to be noted that this Restriction is in addition to the Restrictions imposed by CDC vide notice no. CDC/LCR/335/2017 dated November 08, 2017. Restoration of admission of the Participant to the CDS shall be subject to removal of causes of both the Restrictions.

Regards,