

To (Element) : C00001; C00058; C00232; C00234; C00425; C00498; C00546; C00549; C00598; C00632; C00633; C00637; C00659;

Subject : Regulatory Compliance - RTA Reconciliation for FYE December 31, 2017.

Attachments : Prescribed format Annexure A.doc

Priority : High

Message : May 08, 2018

REMINDER

Dear Issuer / R/TA,

This is with reference to our earlier notification via Notice Management System (NMS) dated January 01, 2018 related to the CDCPL Regulation 13.7.1, which requires issuers of securities inducted in **Central Depository System** (CDS) to provide confirmation of CDC Nominee Holding of their respective security as per the record in their Member Register:

- As on last day of Financial Year, latest by end of 4th month from the end of Financial Year, duly authenticated by the external auditors (i.e. April 30, 201 8).

In view of the above, you are requested to provide the above reconciliation reports on prescribed format (attached) to ensure compliance of the aforementioned Regulation, if applicable.

We would appreciate your timely submission of the required reconciliation which would ensure smooth operation and avoid non-compliance of CDC Regulations.

Should you have any queries or concerns, please feel free to contact us on 0800-23275 (CDCPL) or at info@cdcpak.com

Regards,

Operations & Customer Support Services

Kindly ignore this notice if you have already submitted the required documentation.

Annexure "A"

(On Company's Letterhead)

CONFIRMATION OF CDC's NOMINEE HOLDING IN MEMBERS'/CERTIFICATE HOLDERS' REGISTER

The Chief Compliance & Risk Officer
 Central Depository Company of Pakistan Limited
 CDC House, 99-B, Block-B
 S.M.C.H.S. Main Shahra-e-Faisal
 Karachi

Dear Sir,

In compliance with CDC Regulation No. 13.7.1, we are writing to confirm as follows:

Dated	As on last day of Half year/Financial Year* Ended ____ 20__
Security Name	
Security Symbol	

	Note	Number of Securities
Balance at the end of year in the CDC's Nominee Holding in Members' / Certificate holders' Register	N-1	
Balance of book entry security in the Central Depository Register	N-2	
Difference, if any		

N-1: Movement in the CDC's Nominee Holding in Members' / Certificate holders' Register**Number of Securities**

Balance at the beginning of the year in the CDC's Nominee Holding in Members' / Certificate holders' Register	
Add: Additions during the year	
Less: Deletions during the year	
Balance at the end of year/ period in the CDC's Nominee Holding in Members' / Certificate holders' Register	
Difference, if any	

N-2: Movement in the Central Depository Register**Number of Securities**

Balance at the beginning of the year in the Central Depository Register	
Add: Additions during the year	
Less: Deletions during the year	
Balance at the end of year in the Central Depository Register	
Difference, if any	

Reason for Difference and discrepancy (if any):

Regards,

Authorized Signatory (ies)

*** All contents of the certificate are duly authenticated, verified and certified by the External Auditors of the Issuer**