

To (System) :

Element : R/TA
Type
Location : Islamabad, Karachi, Lahore
Status : Active, Suspended

Subject : Regulatory Compliance - RTA Reconciliation for FYE and HYE December 31, 2018.

Attachments : Prescribed Format Anexure-A.doc

Priority : High

Message : January 04, 2019

Dear Issuer / R/TA,

This is with reference to the CDCPL Regulation 13.7.1, which requires issuers of securities inducted in **Central Depository System** (CDS) to provide confirmation of CDC Nominee Holding of their respective security as per the record in their Member Register.

· As on last day of sixth month of their Financial Year, latest by 10th business day after the end of such period (i.e. January 14, 2019).

· As on last day of Financial Year, latest by end of 4th month from the end of Financial Year, duly verified by the external auditors (i.e. April 30, 2019).

In view of the above, you are requested to provide the above reconciliation reports on prescribed format (attached) to ensure compliance of the aforementioned Regulation, if applicable.

We would appreciate your timely submission of the required reconciliation which would ensure smooth operation and avoid non-compliance of CDC Regulations.

Should you have any queries or concerns, please feel free to contact us on 0800-23275 (CDCPL) or at info@cdcpak.com

Regards,

Operations & Customer Support Services

Annexure “A”

(On Company’s/ R/TA Letterhead)

CONFIRMATION OF CDC’s NOMINEE HOLDING IN MEMBERS’/CERTIFICATE HOLDERS’ REGISTER

Chief Compliance & Risk Officer
 Central Depository Company of Pakistan Limited
 CDC House, 99-B, Block-B
 S.M.C.H.S. Main Shahra-e-Faisal
 Karachi

Dear Sir,

In compliance with CDC Regulation No. 13.7.1, we are writing to confirm as follows:

Dated	As on last day of Half year/Financial Year Ended ____ 20__
Security Name	
Security Symbol	

	Note	Number of Securities
Balance at the end of period /year in the CDC’s Nominee Holding in Members’ / Certificate holders’ Register	N-1	
Balance of book entry security in the Central Depository Register at the end of period/year	N-2	
Difference, if any		

N-1: Movement in the CDC’s Nominee Holding in Members’ / Certificate holders’ Register

Number of Securities

Balance at the beginning of the year in the CDC’s Nominee Holding in Members’ / Certificate holders’ Register	
Add: Additions during the period / year	
Less: Deletions during the period/ year	
Balance at the end of year/ period in the CDC’s Nominee Holding in Members’ / Certificate holders’ Register	
Difference, if any	

N-2: Movement in the Central Depository Register

Number of Securities

Balance at the beginning of the year in the Central Depository Register	
Add: Additions during the period / year	
Less: Deletions during the period / year	
Balance at the end of year/ period in the Central Depository Register	
Difference, if any	

Reason for Difference and discrepancy (if any):

Regards,

Authorized Signatory (ies)/ Company Secretary